

This Page Is Inserted by IFW Operations  
and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning documents *will not* correct images,  
please do not report the images to the  
Image Problem Mailbox.**

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6 : <b>A61L 27/00</b>		A1	(11) International Publication Number: <b>WO 98/40113</b> (43) International Publication Date: 17 September 1998 (17.09.98)																																								
(21) International Application Number: <b>PCT/US98/04904</b> (22) International Filing Date: 12 March 1998 (12.03.98)  (30) Priority Data: 08/816,079 13 March 1997 (13.03.97) US		(81) Designated States: AL, AU, BA, BB, BG, BR, CA, CN, CU, CZ, EE, GE, GW, HU, ID, IL, IS, JP, KP, KR, LC, LK, LR, LT, LV, MG, MK, MN, MX, NO, NZ, PL, RO, SG, SI, SK, SL, TR, TT, UA, US, UZ, VN, YU, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).  Published With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.																																									
(71) Applicants (for all designated States except US): UNIVERSITY OF FLORIDA TISSUE BANK, INC. [US/US]; 1 Innovation Drive, Alachua, FL 32615 (US). UNIVERSITY OF FLORIDA RESEARCH FOUNDATION, INC. [US/US]; 223 Griner Hall, Gainesville, FL 32611 (US).  (72) Inventors; and (73) Inventors/Applicants (for US only): WIRONEN, John, F. [US/US]; Unit 101, 707 S.W. 75th Street, Gainesville, FL 32607 (US). GROOMS, Jamie, M. [US/US]; 5131 N.W. 76th Lane, Gainesville, FL 32653 (US).  (74) Agent: BENCEN, Gerard, H.; Gerard H. Bencen, P.A., 426 Anderson Court, Orlando, FL 32801 (US).																																											
(54) Title: BONE PASTE																																											
<table border="1"><caption>Data points estimated from the graph</caption><thead><tr><th>Concentration of Gelatin (%)</th><th>37 °C (Kinematic Viscosity)</th><th>33 °C (Kinematic Viscosity)</th><th>20 °C (Kinematic Viscosity)</th><th>4 °C (Kinematic Viscosity)</th></tr></thead><tbody><tr><td>0.0</td><td>0.72</td><td>0.70</td><td>0.70</td><td>0.70</td></tr><tr><td>0.1</td><td>0.74</td><td>0.72</td><td>0.72</td><td>0.72</td></tr><tr><td>0.2</td><td>0.76</td><td>0.74</td><td>0.74</td><td>0.74</td></tr><tr><td>0.3</td><td>0.78</td><td>0.76</td><td>0.76</td><td>0.76</td></tr><tr><td>0.4</td><td>0.80</td><td>0.78</td><td>0.78</td><td>0.78</td></tr><tr><td>0.5</td><td>0.82</td><td>0.80</td><td>0.80</td><td>0.80</td></tr><tr><td>0.6</td><td>0.84</td><td>0.82</td><td>0.82</td><td>0.82</td></tr></tbody></table>				Concentration of Gelatin (%)	37 °C (Kinematic Viscosity)	33 °C (Kinematic Viscosity)	20 °C (Kinematic Viscosity)	4 °C (Kinematic Viscosity)	0.0	0.72	0.70	0.70	0.70	0.1	0.74	0.72	0.72	0.72	0.2	0.76	0.74	0.74	0.74	0.3	0.78	0.76	0.76	0.76	0.4	0.80	0.78	0.78	0.78	0.5	0.82	0.80	0.80	0.80	0.6	0.84	0.82	0.82	0.82
Concentration of Gelatin (%)	37 °C (Kinematic Viscosity)	33 °C (Kinematic Viscosity)	20 °C (Kinematic Viscosity)	4 °C (Kinematic Viscosity)																																							
0.0	0.72	0.70	0.70	0.70																																							
0.1	0.74	0.72	0.72	0.72																																							
0.2	0.76	0.74	0.74	0.74																																							
0.3	0.78	0.76	0.76	0.76																																							
0.4	0.80	0.78	0.78	0.78																																							
0.5	0.82	0.80	0.80	0.80																																							
0.6	0.84	0.82	0.82	0.82																																							
(57) Abstract <p>A bone paste useful in the orthopedic arts, for example in the repair of non-union fractures, periodontal ridge augmentation, craniofacial surgery, implant fixation, impaction grafting, or any other procedure in which generation of new bone is deemed necessary, is provided by a composition comprising a substantially bioabsorbable osteogenic compound in a gelatin matrix. In various embodiments, the osteogenic compound is selected from (i) demineralized bone matrix (DBM); (ii) bioactive glass ceramic, BIOGLASS®, bioactive ceramic, calcium phosphate ceramic, hydroxyapatite, hydroxyapatite carbonate, coralline hydroxyapatite, calcined bone, tricalcium phosphate, or like material; (iii) bone morphogenetic protein, TGF-<math>\beta</math>, PDGF, or mixtures thereof, natural or recombinant; and (iv) mixtures of (i)-(iii).</p>																																											

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece			TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KR	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	NZ	New Zealand		
CM	Cameroon	KR	Republic of Korea	PL	Poland		
CN	China	KZ	Kazakhstan	PT	Portugal		
CU	Cuba	LC	Saint Lucia	RO	Romania		
CZ	Czech Republic	LI	Liechtenstein	RU	Russian Federation		
DE	Germany	LK	Sri Lanka	SD	Sudan		
DK	Denmark	LR	Liberia	SE	Sweden		
EE	Estonia			SG	Singapore		

## BONE PASTE

### Background of the Invention

#### 1. Field of the Invention:

5        This invention relates to a new osteogenic, osteoinductive composition for use in the field of orthopedic medicine to achieve bone fusions, fusion of implants to bone, filling of bone defects, or any other application in which an osteoinductive, osteogenic composition is desirable.

10      2. Background:

More than 100,000 bone grafting procedures are performed every year in the United States alone. (Cornell). In the majority of reconstruction procedures, the graft material is used as a filler between bone particles in the belief that continuous contact between particles of bone leads to more rapid and complete healing at the repair site (as well as greater 15 mechanical integrity). (Bloebaum). In the cases of bone augmentation and spinal fusion, these bone grafts may make up the entire structure of the graft, since there are no bone fragments in the area. With the possible exception of one product (whose use guidelines do not allow this), all bone grafting materials require surgical placement with the requisite incisions.

Osteogenic bone grafting materials may be separated into two classes, namely those 20 which are osteoconductive, and those which are osteoinductive. While the exact definition of these terms remains a matter of debate, it can be said that osteoconductive implants "conduct" bone growth across defects when implanted into osseous tissue. (Einhorn). Osteoinductive implants, on the other hand, have the ability to "induce" cells in the area to generate bone of their own accord. (Einhorn). These osteoinductive implants will cause the 25 generation of bone even when they are implanted into non-osseous tissue (e.g. subcutaneous or intramuscular implantation). (Einhorn; Benedict; Strates; Urist).

All of the artificially produced bone-grafting materials available today fall in the osteoconductive category of grafts. Among these are Bioglass®, Norian®, Collagraft®, corraline hydroxyapatite, powdered hydroxyapatite, crystalline and amorphous hydroxyapatite

(hydroxyl apatite), and a number of other products. All of these implants rely on their similarity to natural bone hydroxyapatite. A likely mechanism for bone conduction lies in the ability of these materials to enhance diffusion of trophic factors and cells over their very large surface areas and the mechanical support which they provide to growing tissues. Figure 1  
5 provides a list of relevant properties of selected bone graft materials.

The other category of bone grafting materials currently available is encompassed by autograft or allograft bone. If not too harshly processed, these materials are generally osteoinductive.(Yazdi). Since they are tissue transplants, their use imposes certain risks.  
10 Autografts have been associated with harvest site morbidity in excess of 20%. (Younger).  
Frozen or freeze-dried allografts induce some immune response, and if not properly screened,  
can be associated with disease transmission. (Hordin). The last variety of allografts is  
demineralized bone matrix.

Demineralized Bone Matrix (DBM) was first described by Senn in 1889. (Senn). It was rediscovered, largely by accident, and thoroughly studied by Urist and Strates in the late  
15 1960's. (Strates; Urist). It has since become a major product of tissue banks around the world. As the name implies, it is bone which has been demineralized by treatment with acid.  
A detailed outline of the process for producing this product is provided in figure 2.

DBM has the ability to induce the formation of bone even in non-osseous tissues within 4 weeks. (Strates; Urist; Lasa). The standard technique for determining the activity  
20 of DBM is to implant it subcutaneously or intramuscularly. (Nathan). It is believed that the major active factor in DBM is one or more bone morphogenetic proteins (BMP), (see U.S. Patent 4,294,753, herein incorporated by reference). Other growth factors, including but not limited to TGF-beta, (see U.S. Patent No. 5,422,340, herein incorporated by reference), platelet derived growth factor (PDGF), and the like, may be important for this function also.

25 Bioglass® is a bone grafting material which is a SiO<sub>2</sub>, Na<sub>2</sub>O, CaO, P<sub>2</sub>O<sub>5</sub> glass which has the ability to produce a bio-active surface layer of hydroxylapatite carbonate within minutes of implantation. (Hench).

Two problems are associated with the use of DBM or Bioglass. Both of these materials are supplied as large particles, and do not always stay in the area into which they

are implanted. (Scarborough; Frenkel). Also, due to their coarse nature, they are hard to mold and handle in the operating room. Accordingly, there is the need for a product which does not allow for particle migration, while also being easier to use in the operating environment.

5 As noted in table 1, in recent years, several bone-filling surgical pastes have become commercially available. These products range from simple mixtures of saline with a sand-like powder to a recently released gel, known as GRAFTON®, a glycerol-based, non-cross-linkable composition. All of these products are used in orthopedics to repair bone defects, such as voids, cavities, cracks etc. Such defects may be the result of trauma or may be  
10 congenital, and the known pastes may be used to patch or fill such defects, or build upon existing bony structures. The ultimate goal of such treatments is that the paste will induce bone formation to replace the paste while retaining the form created by the surgeon when applying the paste.

Desirably, a bone paste would be osteoconductive (i.e. it conducts bone cells into a  
15 region) and osteoinductive (i.e. stem cells are induced to differentiate into bone forming cells which begin production of new bone). In general, bone pastes known in the art are osteoconductive, with only weak osteoinductive effects. Accordingly, such known pastes are inadequate for filling of large voids and frequently do not effect proper bone formation even in small voids. All currently available bone pastes, including those that exhibit some  
20 osteoinductive activity, are difficult to handle, do not adequately remain at the site of implantation, or both.

Thus, one commercially available product, GRAFTON®, (see U.S. Patent No. 5,484,601) is a non-cross-linkable composition of demineralized bone powder suspended in a polyhydroxy compound (e.g. glycerol) or esters thereof, optionally including various other  
25 ingredients, including gelatin. It is considered likely that this material is rapidly washed away from the implant location as the carrier matrix is glycerol, which is water soluble.

U.S. Patent Nos. 5,236,456 and 5,405,390 (O'Leary and Prewett) outline an "osteogenic" gel composition which is made from demineralized bone matrix (DBM) by treating with concentrated acid (3 M HCl) and heating to between 40 and 50°C. The patent

briefly describes mixing the gel with DBM and several other components. However, the method of manufacturing the gel composition is such that it produces mostly collagen fibers (i.e. the temperature elevation is insufficient to produce gelatin). As a result, the collagen fibers are not soluble in neutral solutions. To obtain a gel, the patent specifies that the 5 collagen must be dissolved in acid of low pH (e.g. HCl or 1% acetic acid, at a pH of less than 4.0). However, compositions of low pH are not typically very compatible with biological implantations. It is also noted that at column 5, line 20, and column 6, line 15, it is specified that the temperature at which the gel solidifies is 0-5°C, which precludes gellation *in vivo*.

U.S. Patent No. 4,440,750 (Glowacki and Pharris) outlines a standard enzymatic 10 technique for extracting collagen from tissue using Pepsin. A highly refined collagen is obtained from animal sources, which is then reconstituted prior to forming the working composition. The collagen will not readily cross-link without the addition of other chemicals (e.g. aldehydes, chondroitin sulfate), which they do not specify in the composition. There is no mention of a set temperature or any reference to cross-linking behavior.

In U.S. Patent Nos. 4,394,370 and 4,472,840, (Jefferies), complexes of reconstituted 15 collagen with demineralized bone or solubilized bone morphogenetic protein, optionally cross-linked with glutaraldehyde, were reported to be osteogenic when implanted *in vivo*. The reconstituted collagen of these patents is pulverized, lyophilized, microcrystalline collagen which has been dialyzed to remove the hydrochloric acid used in collagen preparation. 20 Accordingly, the composition of those patents does not involve the conversion of collagen to gelatin prior to formation of the composition. Hence, the composition would not exhibit the thermal cross-linking behaviour of the instant composition.

In U.S. Patent No. 4,678,470 (Nashef *et al.*) disclosed a non-resorbable bone-grafting 25 material comprising demineralized bone matrix that had been cross-linked by treatment with glutaraldehyde, or like cross-linking agent, suspended in a gelatinous or semi-solid carrier. Given that the demineralized bone of that patent is chemically cross-linked, its bone inductive properties are considered to be destroyed and the composition essentially forms a structural filler or matrix into which recipient bone may grow.

In WO 89/04646 (Jefferies), a bone repair material having good structural strength was disclosed. The material comprised a demineralized bone matrix which had been surface activated by treatment with glutaraldehyde or like cross-linking agent to increase the binding thereof to biocompatible matrices. The resulting material has such a rigid structure that, prior 5 to implantation into a biological recipient, the material may be machined.

The bone paste of the present invention meets the needs in the art by providing a material that is easy to handle and store, which adheres to the site of implantation, displays both osteoconductive and osteoinductive activities, is thermally cross-linkable, and is substantially bioabsorbable. Preferably, the composition is provided as a gel which contains 10 mineral and protein components which have been clinically shown to induce rapid bone ingrowth. The composition may be delivered to the surgeon in a pre-loaded syringe, ready for use. Preferably, at a first temperature, the gel is easily formable into any shape, and is adhesive. Once inside the biological milieu, or at a second lower temperature, the gel desirably hardens as a rubbery solid, which does not wash away or migrate from the site of 15 implantation. Upon ingrowth of bone, the implant material becomes completely incorporated into the biological system. The mode of making and using this composition is set forth in detail below.

#### Brief Summary of the Invention

20

A bone paste useful in the orthopaedic arts, for example in the repair of non-union fractures, periodontal ridge augmentation, craniofacial surgery, implant fixation, arthrodesis of spinal or other joints, including spinal fusion procedures, or any other procedure in which generation of new bone is deemed necessary, is provided by a composition comprising gelatin 25 and additional osteogenic components. The gelatin is preferably thermally cross-linkable, and the osteogenic components are selected from:

- (i) demineralized bone, preferably derived from the species into which the bone paste is to be implanted; or

- (ii) bioactive glass ceramic, BIOGLASS®, bioactive ceramic, calcium phosphate ceramic, hydroxyapatite, hydroxyapatite carbonate, corraline hydroxyapatite, calcined bone, tricalcium phosphate, like material, or mixtures thereof; or
- 5 (iii) bone morphogenetic protein, TGF-beta, PDGF, or mixtures thereof, natural or recombinant; or
- (iv) mixtures of (i)-(iii).

Where present (ii) or like material is included to enhance the range of manipulable characteristics of strength and osteoinduction exhibited by the composition. Where present, 10 (iii) reduces the need for demineralized bone, which otherwise provides a source of osteoinductive factors.

Demineralized bone has been shown to be highly effective in inducing bone formation. The gelatin provides a cross-linkable, adhesive and easily manipulated matrix in which the osteoconductive and osteoinductive elements of the composition are carried. Other factors, 15 such as antibiotics, bone morphogenetic or other proteins, whether derived from natural or recombinant sources, wetting agents, glycerol, dextran, carboxymethyl cellulose (CMC), growth factors, steroids, non-steroidal anti-inflammatory compounds, or combinations thereof or any other material found to add to the desirable properties of the essential composition of this invention may be included.

20 The composition may be freeze-dried or pre-constituted, and may be provided in a convenient dispensing device, such as a pre-loaded syringe. The gel is preferably in a liquid or highly malleable state at temperatures above about 40°C, but sets up as a hard gel at or preferably slightly above the body temperature of the organism into which it is implanted (e.g. at 38°C in humans).

25

#### Brief Summary of the Figures

Figure 1 is a chart of existing bone grafting materials.

Figure 2 represents a bone demineralization process.

Figure 3 is a graph of the kinematic viscosity (centistokes) versus concentration (%) for human gelatin processed at various temperatures in phosphate buffered saline solution (PBS).

5 Figure 4A is a photomicrograph of a section of an implant comprising demineralized bone matrix (DBM) without any carrier after four weeks intramuscularly in a rat.

Figure 4B is a photomicrograph of a section of an implant comprising 33% DBM in gelatin (i.e. the paste of this invention) after four weeks intramuscularly in a rat.

#### Detailed Description of the Invention

10 It will be appreciated by those skilled in the art that the specifics of the composition of this invention, its method of preparation and use are applicable to such compositions for use in any vertebrate species. Nonetheless, because human use is considered likely to be the principal orthopedic application of this new material, the following description concentrates on exemplifying this material for human applications.

15 The composition of this invention comprises gelatin and additional osteogenic components. The gelatin is preferably thermally cross-linkable, and the osteogenic components are selected from:

- (i) demineralized bone, preferably derived from the species into which the bone paste is to be implanted; or
- 20 (ii) bioactive glass ceramic, BIOGLASS®, bioactive ceramic, calcium phosphate ceramic, hydroxyapatite, hydroxyapatite carbonate, corraline hydroxyapatite, calcined bone, tricalcium phosphate, like material, or mixtures thereof; or
- (iii) bone morphogenetic protein, TGF-beta, PDGF, or mixtures thereof, natural or recombinant; or
- 25 (iv) mixtures of (i)-(iii).

The composition is fluid at a first temperature (e.g., above 38°C) and becomes thermally cross-linked at or just above a second temperature, corresponding to the normal

body temperature of the organism into which the composition is to be implanted (e.g., at 38°C in humans).

The terms "thermally cross-linked" or "thermally cross-linkable" are used herein to describe the property of a composition which contains molecules which, at or below a given 5 temperature and concentration, associate in such a fashion as to result in gelation of a solution containing these molecules.

The term "substantially bioabsorbable" is used herein to describe the property of a material able to cooperate in and become incorporated with new bone formation. Accordingly, for example, demineralized bone matrix which has been chemically cross-linked 10 with an agent such as glutaraldehyde, is not considered to be substantially bioabsorbable. However, demineralized bone matrix itself, bioactive glass or like ceramics, gelatin, and bone morphogenetic factors are all considered to be substantially bioabsorbable as they cooperate 15 in new bone formation, rather than purely providing structural rigidity or support.

The gelatin acts as a carrier phase and has the ability to thermally cross-link over a 15 very small temperature range. This thermal cross-linking reaction is largely controlled by physical entanglement and hydrogen bonding between chains, and so is dependant on concentration and temperature. (Sperling). Additionally, since gelatin has been used 20 extensively in the medical market, its *in vivo* properties are thoroughly studied. (McDonald). The gel-foam sponge is the most familiar application of this biopolymer. Studies have indicated that gelatin is only mildly antigenic upon implantation, and is comparable in some 25 of its properties to collagen, (McDonald). However, collagen does not exhibit the thermal cross-linking property so important to the composition of this invention.

Where present, the bioactive glass, such as BIOGLASS®, bioactive ceramic, calcium phosphate ceramic, hydroxyapatite, hydroxyapatite carbonate, calcined bone, tricalcium phosphate, or like material, is included to enhance the range of manipulable characteristics 25 of strength and osteogenesis (osteoinduction and osteoconduction) exhibited by the composition.

The manufacture of gelatin is based on the partial hydrolysis of collagen. Collagen is available from skin, bone, cartilage, tendon and other connective tissue. Skin and bone

yield Type I and Type III collagen molecules, while tendon yields nearly pure Type I collagen, and cartilage yields a mixture of Type II and rarer types of collagen molecules. Gelatin molecules resemble collagen triple helices, however, they are partially hydrolyzed. As a result, in solution they have little organization. But, as the solution cools, the gelatin 5 molecules begin to form helical structures. As the solution cools further, the viscosity increases and a phase transformation from a solution to a gel occurs. This phase change is reversible when heat is added.

The set time and set temperature of a gelatin solution are dependent on the concentration of gelatin in solution, the molecular weight, or intrinsic viscosity, of the gelatin 10 molecules, and the pH of the solution. At the isoelectric point, or the pH at which the gelatin molecules are electrically neutral, the set time is the shortest.

Collagen can be partially hydrolyzed by several methods. The Type A process is the simplest and most rapid process, in which dilute acid (e.g. less than 1 M HCl) is used to partially hydrolyze the collagen. Type A processing is generally used with porcine skin and 15 demineralized bovine bone. The Type B process uses an alkaline solution to partially hydrolyze the collagen. Type B processing is generally used with bovine hide and demineralized bovine bone. Finally, enzymes, such as pepsin, may be used to partially hydrolyze collagen. Pepsin preferentially cleaves peptide bonds between aromatic amino acids. Pepsin also acts as an esterase, but amides of amino acids are not hydrolyzed.

As one example of this method, the gelatin is prepared from the bones of the species 20 into which the compositions are to be implanted, by crushing and defatting the bones followed by soaking for about 24 hours in approximately 300 mg/L pepsin in a 0.5 M acetic acid at 33°C. The pH of the resulting solution is brought to 9.0 with sodium hydroxide to denature the pepsin, then it is returned to 7.0 with hydrochloric acid. The temperature of the solution 25 is raised to 60°C for about 15 to 30 minutes and returned to 4°C to effect denaturation of remaining collagen and complete conversion to gelatin. The resulting solution is filtered to remove particulates and dialyzed against distilled water for 48 hours in a 50K-100K molecular weight cut-off (50K-100K MWCO) dialysis membrane. After lyophilization, the gelatin is

redissolved in phosphate buffered saline (PBS) or water to an effective concentration of about 30-45 weight percent of gelatin in solution.

The gelatin content of the composition is desirably between about 20-45% (w/w). The gelatin may be derived from the same or different species than that into which the composition is to be implanted. For example, human, porcine, bovine, equine, or canine gelatin is derived from collagen sources such as bone, skin, tendons, or cartilage, and may then be mixed with DBM or other osteogenic materials. As noted above, the collagen is converted to gelatin via, liming, acidification or by enzymatic extraction, for example by pepsin or like enzymatic treatment, followed by denaturation by heat or other means. The gelatin may be derived from tissue by mastication of the tissue, followed by an extended treatment capable of breaking cross-links in the long collagen chains. In one embodiment, the tissue is ground then soaked for about 24-72 hours at between about 2-40°C in dilute acid, such as 0.1 normal acetic acid. Preferably, an enzyme such as pepsin at a sufficiently high concentration is added. Pepsin concentrations of between about 10-20,000 i.u./liter, 100-2,000 i.u/liter, or like concentrations are added to the dilute acid at the start of the treatment, with the period of treatment being adjusted according to the enzyme concentration used. Solids are removed from the composition, for example by centrifugation, and the supernatant material in solution having a molecular weight of about 50,000 daltons or higher is retained. This may be achieved by any of a number of methods known in the art including, but not limited to, dialyzing the supernatant in a 50,000 dalton molecular weight cut-off membrane against several changes of solution, ultrafiltration against a membrane having a like molecular weight cut-off, (MWCO) or gel permeation chromatography through a medium having a 50,000 dalton molecular mass cut-off. It will be recognized by those skilled in the art that the higher the MWCO of the gelatin, the lower the yield. Accordingly, lower MWCO gelatin preparations, down to about 1000 dalton MWCO's could be used, recognizing that undesirable low molecular weight species might thereby be retained.

The gelatin solution resulting from the foregoing extraction is preferably denatured, for example by heat-treatment to above about 50°C. The denatured protein is then stored in a frozen state or it may be freeze-dried or precipitated, for example in a volatile organic

solvent, and reconstituted in a solution, such as an isotonic saline solution, at a concentration of between about 30-45% (w/w) gelatin.

The demineralized bone is preferably in a powdered form, and is preferably composed of particles in the size range between about 80-850  $\mu\text{m}$  in diameter. Methods for producing demineralized bone powder are known in the art (see for example U.S. Patent No. 5,405,390, herein incorporated by reference for this purpose), and are not, therefore, elaborated here. Demineralized bone powder, extracted by standard techniques, is mixed with the gelatin solution prepared as described above, to form a composition comprising about 0-40% (w/w) demineralized bone powder. Where present, bone morphogenetic proteins (BMP) reduce the percentage of DBM required in the composition. The BMP is preferably present at a concentration of between about 0.0001 to 0.1 mg/ml, 0.001 mg/ml to 0.01 mg/ml, or like concentration, depending on the amount of DBM present (0-40% w/w).

In certain embodiments of this invention, and for particular orthopaedic applications in which strength of the bond formed by the bone paste is important, addition of a bioactive glass is preferred. When added, the bioactive glass lowers the adhesiveness of the composition, but increases the stiffness of the composition upon setting. Accordingly, a bioactive glass, such as BIOGLASS<sup>®</sup> having a diameter of between about 0.5-710  $\mu\text{m}$ , is added to the gel/demineralized bone composition. In addition, a composition comprising between about 0-40% (w/w) of bioactive glass with the gelatin forming 20-45% (w/w) of the composition is also contemplated.

Compositions prepared as described above are easily extruded from a syringe, particularly when the temperature is elevated to above about 40°C, for example by immersion in a water bath, by limited treatment in a microwave, by placement in a syringe warmer, or any of a number of other methods for heating the container. The extruded gel is resilient, sticky and easily formable into any desired shape. In addition, the composition retains its strength and is poorly soluble in saline once it sets-up.

Accordingly, having generally described the composition of this invention, and taking into account the specifics of the exemplary support provided below, the following guidelines for the preparation and use of the composition of this invention are provided:

The gelatin from DBM should be prepared at a temperature between about 30 and 37°C. While the yield is higher (60%) at 37°C, the quality, based on measured kinematic viscosity, is slightly lower than that produced at 30°C. Preferably, the gelatin is produced by limited hydrolysis of collagen with the assistance of an enzyme, such as pepsin, or like enzyme. A concentration of pepsin set at 300 U/L-500 U/L works well, but those skilled in the art will recognize that a wide range of enzyme concentrations could be tested, based on what is disclosed herein. Those skilled in the art will recognize that acid or alkaline processing of skin and tendon may be an alternative to the pepsin technique.

The final composition preferably comprises gelatin having a viscosity of about 3600 centipoise at 44°C (when measured in the linear range of a viscosity/sheer rate plot - 0.87/s), or a kinematic viscosity of about 0.7 centistokes at 44°C. The concentration of the gelatin in the carrier phase (i.e. absent added osteogenic components) is preferably about 30-45% (w/w), (approximately 50-60% w/v), to ensure that gelation at 38°C will occur in a reasonable amount of time. Naturally, those skilled in the art will recognize that, depending on the species of the organism into which the composition is to be implanted, different temperatures may be required. These needs are accommodated by altering the gelatin concentration, increasing the concentration if a higher gel temperature is desired, and lowering the concentration if a lower gel temperature is desired.

The DBM content of the composition is defined herein by the concentration required to obtain bone formation similar to that seen with DBM alone. We have found that about 5-40% (w/w) DBM in the composition is effective. Anything lower than about 5% seems to do very little by way of bone formation, unless added BMPs (component iii) are present in the composition, in which case the DBM concentration may be substantially reduced or eliminated altogether. Naturally, based on this disclosure, those skilled in the art will recognize that by addition of different concentrations and compositions of bone morphogenetic proteins or other osteogenic or osteoinductive factors, the weight percent of DBM in the composition may be manipulated up or down. In addition, it will be recognized that, depending on the species into which the composition is implanted, the DBM weight percent may need to be adjusted up or down.

We have found in *in vivo* studies that the compositions with DBM contents from 15 to 33% all produce calcified tissue. We have found that there is a good correlation between the amount of DBM in the composition and the level of bone induction, as long as the DBM concentration is greater than about 19% (w/w). About 38-40% (w/w) is the upper mass limit 5 for DBM. Accordingly, 0-40% (w/w) DBM, and more preferably 5-30% (w/w), 7-33% (w/w) or 15-25% (w/w) is desirable for this component.

We have observed histologically that, subsequent to implantation into an animal, the gelatin phase is totally absorbed within about 2 weeks. Additionally, cartilage and mineralized bone formed within two weeks, with mature bone being evident by about the 10 fourth week. The animals in these studies did not exhibit any gross health problems or any indications of irritation, hematoma, soreness, fever, or weight loss during the study. The composition according to this invention, whether it comprises gelatin and osteogenic components (i-iv) may act as a carrier for cortical, cancellous or cortical and cancellous bone chips. Such compositions are useful for filling larger bone voids. In addition, when these 15 bone chips are not demineralized, they provide an added spectrum of biological properties not exhibited by the gelatin alone or the gelatin plus osteogenic components (i-iv). When present, it is preferred for such bone chips to be in the size range of about 80  $\mu\text{m}$  to about 10 mm.

In a further embodiment of this invention, the composition of gelatin and osteogenic components (i-iv) is injection molded, vacuum molded, rotation molded, blow molded, 20 extruded or otherwise formed into a solid form. Such forms would desirably take the form of vertebral disks, acetabular hemispheres, tubes, ellipsoid shapes for void filling, and intramedullary plugs, which are useful to plug the intramedullary canal of various bones (i.e. the marrow containing portion of the bone) to prevent bone cement from entering healthy bone tissue. These forms are produced, for example, by raising the temperature of the 25 composition above its liquefaction temperature (e.g. about 45°C), and allowing the composition to gel in a mold of appropriate shape. For such forms, the gelatin content is preferably made as high as possible to ensure that the form remains solid upon grafting into a vertebrate recipient.

Those skilled in the art will recognize the many orthopedic applications of bone paste of this invention. However, by way of illustration rather than limitation, for purposes of arthrodesis of the spine, one particularly preferred mode of using this composition would be at an early stage of vertebral disk degeneration or subsequent to trauma. Diagnosis of trauma  
5 or degeneration is followed by formation of a small orifice, or a plurality of small orifices in the intervertebral cartilage at the site of degeneration. The bone paste is then injected into the intervertebral space to induce arthrodesis. A similar procedure could be used with other joints or bone damage.

Having generally described the invention, the following examples are provided to  
10 show specific features and applications of the invention. It should be recognized that this invention is in no way limited to the specifics of the examples as set forth below, and that the limits of this invention are defined by the claims which are appended hereto.

Example 1:

15 Gelatin Production, Kinematic Viscosity, and Critical Concentration for Gelation at 38°C:

In this experiment, the source of collagen was from demineralized human cortical bone powder in the size range of 250 - 850  $\mu\text{m}$ . The demineralized bone matrix powder (DBM), 0.5 M. acetic acid solution, and pepsin were added to a centrifuge tube. The centrifuge tube was tumbled for 24 hours at the desired temperature: 4 °C, 30 °C, 33 °C or 37 °C. The pH was  
20 adjusted to 9.0 then down to 7.0 with 1 N NaOH and 1N HCl, respectively, deactivating the pepsin. The solution was placed in a 60 °C water bath for 15 minutes, then quenched in ice water. The solution was centrifuged and the supernatant was poured into dialysis membrane tubing with a 1000 Daltons molecular weight cut off. The supernatant was dialyzed to obtain a 1000:1 dilution factor, frozen and lyophilized until completely dry. This experiment was  
25 performed in quintuplicates for each temperature.

The kinematic viscosities of dilute concentrations of gelatin, 0.0625 w/v%, 0.125 w/v%, 0.25 w/v%, and 0.5 w/v% in phosphate buffered saline solutions (pH 7.4 at 25°C), were measured with an Ubbelhode viscometer at 44 °C. The kinematic viscosities of human gelatin processed at 4 °C, 30 °C, 33 °C, and 37 °C, were measured in duplicates, except for 33 °C

which was only measured once. The kinematic viscosities (centistokes) were graphed versus concentration of human gelatin solution, figure 3. The linear regression was extrapolated to zero to determine the kinematic viscosity at zero concentration. The optimum processing temperature was determined by the temperature that yielded the highest solution viscosity at zero concentration, largest slope of the linear regression, greatest yield, and lastly, the gelatin that produced a solid bone composite at slightly above human body temperature.

As the processing temperature increased, the yield of gelatin, normalized for the same pepsin to DBM ratio (0.03% (w/v) pepsin/1g DBM), increased. The kinematic viscosity at zero concentration, or y-intercept, followed a reverse trend. As the processing temperatures increased, the extrapolated kinematic viscosities decreased, Table 1.

The human gelatin processed at 30 °C had the highest slope on the kinematic viscosity versus concentration plot, 0.40 (centistokes/%), followed by the human gelatin processed at 4 °C, 0.26 (centistokes/%), the human gelatin processed at 33 °C, 0.21 (centistokes/%), and lastly the human gelatin processed at 37 °C, 0.17 (centistokes/%), Table 1.

In order to correlate the kinematic viscosities to molecular weight of gelatin, the kinematic viscosities must be translated into intrinsic viscosities. However, the intrinsic viscosities were undefined due to the polyelectrolytic nature of gelatin. As a result, a direct relationship between viscosity and molecular weight of human gelatin can not be made.

Table 1. Physical properties of human gelatin and human gelatin in phosphate buffered saline solution. Human gelatin was processed at 4 °C, 30 °C, 33 °C, and 37 °C, resulting from 1 g of DBM and 0.03 w/v% pepsin solution in 0.5 N acetic acid:

Human Gelatin Processed at Various Temp.	Average Yield Percent by Weight	Extrapolated y-intercept (centistokes)	Slope of Linear Regression (centistokes/%)	r <sup>2</sup> Value of Linear Regression
4 °C	6% (n=5)	0.72 (trial 1&2)	0.26 (trial 1&2)	0.985 (trial 1&2)
30 °C	18% (n=5)	0.71 (trial 1&2)	0.40 (trial 1&2)	0.993 (trial 1&2)
33 °C	30% (n=4)	0.71 (trial 1)	0.21 (trial 1)	0.994 (trial 1)
37 °C	60% (n=5)	0.70 (trial 1&2)	0.17 (trial 1&2)	0.996 (trial 1&2)

The set temperatures for various bone paste compositions were determined, Table 2. Human gelatin made from DBM via pepsin at 33 °C, 35 °C, and 37 °C was used in the bone paste compositions. Gelatin concentrations were varied from 19 w/w% of total composite to 25 w/w% of total composite (corresponding to 40 w/v% to 60 w/v% gelatin in the carrier matrix) in a pH 7.4 phosphate buffered saline solution (PBS). All bone paste composites tested contained DBM at a concentration of 33 w/w% of the total composite. Different ambient temperatures were used to test whether the bone paste was solid or liquid, 45 °C, 43 °C, 41 °C, 40 °C, 38 °C, and 35.5 °C. The set temperature was determined both by subsequent lowering of the ambient temperature and raising of the ambient temperature.

10

Table 2. Ambient temperatures corresponding to solidified (non-syringe-able) bone paste composites.

Human Gelatin as a Percent of Total Composite Weight	37 °C Process Temp	35 °C Process Temp	33 °C Process Temp
25 w/w%	< 35.5 °C	< 35.5 °C	40 °C
24 w/w%	< 35.5 °C	< 35.5 °C	< 35.5 °C
22 w/w%	< 35.5 °C	< 35.5 °C	< 35.5 °C
21 w/w%	< 35.5 °C	< 35.5 °C	< 35.5 °C
19 w/w%	< 35.5 °C	< 35.5 °C	< 35.5 °C

Accordingly, the critical concentration of gelatin in a bone paste composite that was solid at slightly above human body temperature, 38 °C to 39 °C, was 25 w/w% of the total composite for human gelatin, processed at 33 °C, and with 33 w/w% of the composite being DBM, the remainder being PBS. The human gelatin processed at 33 °C had a zero concentration kinematic viscosity of 0.71 centistokes. Human gelatin solutions of lower kinematic viscosities were found to have critical concentrations in excess of about 25 w/w%. Correspondingly, gelatins with viscosities higher than about 0.71 centistokes are expected to thermally cross-link at concentrations lower than about 25% (w/w).

Example 2: In Vivo Bone Paste Composition and Activity

This study demonstrates that the bone paste of this invention is osteoinductive. In addition, this study demonstrates particle sizes for the DBM component of the composition which operate well in promoting new bone growth in an animal into which it is implanted.

5 The intra-muscular rat model is the standard model for testing the osteoinductivity of demineralized bone and other osteoinductive factors. Strates et al. have used this model for many years (Strates).

As noted in Example 1 above, we determined that for gelation at 38°C, a gelatin concentration of 40-60% w/v (30-45% w/w of the solution absent added osteogenic components) is required. At this concentration, gelatin acting as a carrier matrix thermally cross-links at 38°C within approximately 8 minutes. In this study we addressed the question of how much DBM must be present in this fixed 40-60% gelatin carrier matrix to induce bone formation which favorably compares with positive controls. We compared 4 different compositions of a DBM/Gelatin composite with both positive and negative controls in a rat 10 intra-muscular model.

A. Implant Preparation:

The femurs, tibiae, and fibulae were harvested from fresh-killed (within 24 hours, refrigerated at 4°C) Sprague-Dawley rats. The diaphyses were cut from the bones and the 20 marrow removed from the mid-shaft with a dissecting probe and sterile water wash. Mid-shaft segments were then demineralized in 0.6 M. HCl for 24 hours at 4°C with the mass ratio of bone to acid maintained at 1/10 or lower. The bone segments were lyophilized and then mixed with dry ice and ground in a lab-scale bone mill. DBM powder was sieved and the fraction from 125-450 µm was retained.

25 A carrier matrix of 50 % (w/v) gelatin was made by heating phosphate buffered saline (PBS) to 60°C and then adding powdered porcine gelatin (Sigma, 300 bloom) and stirring vigorously. Carrier matrix was allowed to age for 15 minutes (to even out the distribution of gelatin in solution) and then it was allowed to cool to 50°C. DBM was added to the gelatin

solution at this point in the following amounts: 0 (negative control), 15, 19, 24, and 33% w/w of the total composite. The composite was blended thoroughly by hand mixing.

Implants were prepared by ejecting a thread of composite onto a petri dish. These threads were cut into short segments (~ 4 mm.), weighed, and placed into sterile petri dishes.

- 5 Positive controls were prepared by pelletizing DBM mixed with PBS in a centrifuge. To maintain pellet integrity during the hazards of surgery, these pellets were frozen and implanted as such.

**B. Rat Surgery:**

- 10 Young Sprague-Dawley rats (200-410 g) were anesthetized with 86 mg/kg Ketamine, and 13 mg/kg Xylazine administered intramuscularly (in the thigh). A parallel-mid-line incision was made from the tip of the sternum to just above the groin. The lateral aspects of the rectus abdominus were accessed by blunt dissection to either side of the animal. Three short incisions were made in the muscle on each side and the implants inserted, followed by  
15 1 to 2 stitches with Prolene® 3-0 suture (to mark the location and prevent the ejection of the implant mass). One positive or one negative control as well as two experimental compositions were inserted on each side. Implant locations were random except that each rat had one positive control on one side and one negative control on the contralateral side.

- 20 Animals were returned to their cages and provided food and water *ad-lib*. All members of the study group were kept for 4 weeks except one animal (R1) which was sacrificed after 2 weeks for histology.

After 4 weeks, animals were sacrificed with an overdose of Nembutal. The *rectus abdominus* was removed by sharp dissection, removing as much tissue as possible.

25 **C. Explant Analysis:**

Each muscle was notched to mark the superior side of the animal and placed into a labeled petri dish. The muscle was X-rayed with mammography equipment, using mammography film (DuPont). Roentgenograms were analyzed using a digital camera attached to an Apple LCII equipped with NIH Image 4.1 software. Images were thresholded

to highlight the implant shadow and then the area of the shadow was determined by pixel counting.

Two of each variety of explant were removed from the muscle and fixed in 10% buffered formalin. Histological sections were taken and consecutive sections were stained 5 with H&E and Masson's trichrome stain. These histological samples were examined by a qualified pathologist.

Remaining explants were cut from the muscle tissue and ashed in a muffle furnace for 4.5 hours at 700-750°C. Ash weight was determined and normalized to original implant 10 weight. Ash was dissolved in 1.0N HCl and analyzed for calcium content by atomic absorption spectroscopy.

All analyses were conducted in a blinded manner with decoding done only after processing of the data was complete.

#### D. Histology:

15 Two week histology samples of 15% and 19% DBM composites indicated that bone formation was occurring, even at this early date. The route of bone formation is not readily apparent, but appears to be endochondral. Four week histology samples revealed that mature bone was formed at the site of implantation. The quality of bone formed was comparable to that of natural bone as shown by the ash and percent calcium analyses. All implants 20 containing DBM were found to lead to the production of some bone. Those containing greater than about 20% DBM yielded the highest quality bone. Figures 4A and 4B provide photomicrographs of sections of implants after four weeks *in vivo* in the rat intramuscular model. We found that 33% (w/w) DBM in gelatin carrier (figure 4B) according to this invention produced as much new bone as pure, 100% DBM (figure 4A). In these figures, the 25 following structures are evident: 10 is mature bone, as evidenced by red stain uptake from Masson's stain; 20 is new cartilage formation, as evidenced by uptake of blue stain from Masson's stain and presence of cells; 30 is residual DBM, as evidenced by uptake of blue stain and the absence of cells, from which all cartilagenous and bone structures in the muscle cross section arose; and 40 is immature bone, as evidenced by light blue staining and the

presence of cells. The cells seen are osteoclasts, degrading the newly formed cartilage, and osteoblasts laying down new bone. In addition, vascular infiltration in the mature bone is evident in the Masson's stained section, from which the black and white prints were made.

5    E. Compositional Analysis:

There was no statistically significant difference, using a  $2\sigma$  test, in ash content between the negative control, the positive control, or compositions comprising 15% or 19% (w/w) DBM. This does not necessarily imply that these compositions do not work (examination of the Roentgenograms obviates this conclusion). Rather, it indicates that the sensitivity of the 10 ash method does not allow the detection of the difference. Examination of the data for the 24% and 33% composites indicates that they are significantly better than 19%, 15%, and the negative controls, and are not significantly different from the (positive) control, see Table 3:

**TABLE 3:**

15	Composition (%DBM)	% Yield Ash / g. Implant	Standard Deviation
0 { - control}		10.1	9 (n=6)
15		5.5	12.7 (n=6)
19		11.9	12.2 (n=6)
20	24	34.5	14.9 (n=5)
33		30.0	8.0 (n=4)
100 { + control}		31.9	8.8 (n=6)

25    F. Atomic Absorption Spectroscopy:

The atomic absorption spectroscopy of ashed compositions of DBM/gelatin composites yielded the amount of calcium in the samples. The 15% and 19% compositions did not show a statistically significant difference from the negative controls. However, it is expected that with greater assay sensitivity, positive effects of DBM at concentrations as low as about 7%

(w/w) in gelatin carrier would be measurable. The average calcium content produced by compositions greater than or equal to 24% appeared to be proportional to the amount of DBM, by weight, in the composition:

5 **TABLE 4:** Comparison between the atomic absorption spectroscopy results of ashed samples of six different DBM/gelatin composites explanted from rats after 4 weeks *in vivo*.

	Composition (%DBM w/w)	Average Ca Content/gram	Standard Deviation ( $\sigma$ )
10	0 {(-) control}	1.2	1.2 (n=6)
15	15	3.9	2.4 (n=4)
19	19	7.3	7.5 (n=4)
24	24	23.1	8.7 (n=3)
33	33	28.0	4.4 (n=4)
15	100 {(+) control}	81.3	30.0 (n=5)

#### G. X-Ray Digital Analysis:

20 Gross examination/comparison of the x-rays reveals that the 24% and 33% compositions are not significantly different from the (+) controls. The 15% and 19% compositions do not appear to generate significant bone. However, it is expected that with greater assay sensitivity, positive effects of DBM at concentrations as low as about 7% (w/w) in gelatin carrier would be measurable. No bone formation was apparent on the x-rays at the locations of the (-) controls. Accordingly, we conclude that DBM at a concentration of 25 between about 24% to 33% (w/w) in gelatin is active in inducing bone formation. These same data indicate that concentrations of DBM below about 20% are less effective in generating significant bone in comparison to positive controls. It is noted that Grafton™ contains only 8% DBM in a glycerol carrier.

**Table 5:**

Composition (% DBM w/w)	Normalized Area (% of +ve control)	Standard Deviation ('σ)
0 {(-) control}	0	0 (n=10)
5 15	2.8	1.9 (n=7)
19	4.1	4.2 (n=7)
24	33.0	15.2 (n=10)
33	36.7	14.9 (n=10)
10 100 {(+) control}	100	43.1 (n=10)

**Example 3****Procedure for the Production Bone Paste of this Invention:**

This example provides one procedure for the manufacture of bone paste from gelatin and demineralized bone. As fractions of the total mass of composition desired, the following components are weighed (percentages given are of total composite weight):

Dry demineralized bone: 0-40% (w/w)

Lyophilized thermally

20 cross-linkable gelatin: 20-45% (w/w)

BIOGLASS®: 0-40% (w/w)

bone morphogenetic protein: 0.001 mg/ml

These components are thoroughly blended while dry, and the balance of the composition mass is made up by addition of water, phosphate buffered saline, or any other physiologically acceptable liquid carrier. The composition may be packaged in this form or lyophilized for later reconstruction with water. The malleable properties of the composition are achieved by

heating the composition to a temperature sufficient to exceed the liquefaction point of the gelatin, and then allowing the composition to cool to the temperature at which it gels.

References

5

- Cornell, C. *Techniques in Orthopaedics* 1992, 7, 55-63.
- Bloebaum, R. D. *Human Bone Ingrowth and Materials*; Bloebaum, R. D., Ed.; Society for Biomaterials: Denver, CO, 1996.
- Einhorn, T. A. *Enhancement of Bone Repair Using Biomaterials*; Einhorn, T. A., Ed.; 10 Society for Biomaterials: Denver, CO, 1996.
- Benedict, J. J. *The Role of Carrier Matrices on Bone Induction In Vivo*; Benedict, J. J., Ed.; Society for Biomaterials: Denver, CO, 1996.
- Strates, B.; Tiedeman, J. *European Journal of Experimental Musculoskeletal Research* 1993, 2, 61-67.
- 15 Urist, M. R. *Bone Morphogenetic Protein*; Urist, M. R., Ed.; W. B. Saunders Co.: Philadelphia, 1992, pp 70-83.
- Yazdi, M.; Bernick, S.; Paule, W.; Nimni, M. *Clinical Orthopaedics and Related Research* 1991, 262, 281-285.
- Younger, E.; Chapman, M. *Journal of Orthopaedic Trauma* 1989, 3, 192-195.
- 20 Hardin, C. K. *Otolaringologic Clinics of North America* 1994, 27, 911-925.
- Senn, N. *The American Journal of the Medical Sciences* 1889, 98, 219-243.
- Urist, M. R.; Huo, Y. K.; Brownell, A. G.; Hohl, W. M.; Buyske, J.; Lietze, A.; Tempst, P.;
- Hunkapiller, M.; DeLange, R. J. *Proceedings of the National Academy of Sciences, USA* 1984, 25 81, 371-375.
- Urist, M. R.; Chang, J. J.; Lietze, A.; Huo, Y. K.; Brownell, A. G.; DeLang, R. J. *Methods in Enzymology* 1987, 146, 294-313.
- Lasa, C.; Hollinger, J.; Droham, W.; MacPhee, M. *Plastic and Reconstructive Surgery* 1995, 96, 1409-1417.

- Nathan, R.; Bentz, H.; Armstrong, R.; Piez, K.; Smestad, T.; Ellingsworth, L.; McPherson, J.; Seyedin, S. *Journal of Orthopaedic Research* 1988, 6, 324-334.
- Hench, L. L.; Andersson, O. H. *Bioactive Glasses*; Hench, L. L.; Andersson, O. H., Ed.; World Scientific Publishing Co. Pte. Ltd.: Singapore, 1993, pp 41-63.
- 5 Scarborough, N. *Bone Repair Using Allografts*; Scarborough, N., Ed.; Society for Biomaterials, 1996.
- Frenkel, S. R.; Moskovich, R.; Spivak, J.; Zhang, Z. H.; Prewett, A. B. *Spine* 1993, 18, 1634-1639.
- Sperling, L. H. *Introduction to Physical Polymer Science*; John Wiley and Sons, Inc.: New York, 1992.
- McDonald, T. O.; Britton, B.; Borgmann, A. R.; Robb, C. A. *Toxicology* 1977, 7, 37-44.
- Culling, C. F. A.; Allison, R. T.; Barr, W. T. *Cellular Pathology Technique*; 4 ed.; Butterworths: London, 1985.
- U.S. Patent No. 5,481,601
- 15 U.S. Patent No. 5,236,456
- U.S. Patent No. 5,405,390
- U.S. Patent No. 4,440,750
- U.S. Patent No. 4,394,370
- U.S. Patent No. 4,472,840
- 20 U.S. Patent No. 4,678,470

WO 89/04646

Claims

1        1. An implantable bone paste composition comprising gelatin as a carrier for  
2 substantially bioabsorbable osteogenic components for use in a recipient in need thereof.

1        2. The bone paste of claim 1 for use in the repair of non-union fractures,  
2 periodontal ridge augmentation, craniofacial surgery, arthrodesis of spinal or other joints,  
3 spinal fusion procedures, and implant fixation.

1        3. The composition of claim 1 wherein the gelatin is thermally cross-linkable  
2 at or slightly above the temperature of the organism into which it is to be implanted.

1        4. The composition of claim 3 wherein said composition gels at about 38°C.

1        5. The composition of claim 3 wherein said gelatin is present at a concentration  
2 of between about 20-45% (w/w) gelatin as a fraction of the weight of the composition.

1        6. The composition of claim 5 wherein the osteogenic component is selected  
2 from the group consisting of:

- 3        (i) demineralized bone matrix (DBM);
- 4        (ii) bioactive glass ceramic, BIOGLASS®, bioactive ceramic, calcium  
5           phosphate ceramic, hydroxyapatite, hydroxyapatite carbonate, corraline  
6           hydroxyapatite, calcined bone, tricalcium phosphate, or mixtures thereof;
- 7        (iii) bone morphogenetic protein, TGF-beta, PDGF, or mixtures thereof, natural  
8           or recombinant; and
- 9        (iv) mixtures of (i)-(iii).

1        7. The composition of claim 6 wherein the gelatin, the demineralized bone  
2 matrix, or both are derived from the species into which the bone paste is to be implanted.

1           8.     The composition of claim 7 wherein DBM is present at between about 0-  
2     40% (w/w) of the total composite weight.

1           9.     The composition of claim 8 wherein DBM is present at between about 15-  
2     33% (w/w) of the total composite weight.

1           10.    The composition of claim 6 wherein the bioactive glass is BIOGLASS®.

1           11.    The composition of claim 6 wherein component (ii) is present at between  
2     about 0-40% (w/w) of the total composition mass.

1           12.    The composition of claim 6 comprising antibiotics, bone morphogenetic or  
2     other proteins, whether derived from natural or recombinant sources, wetting agents,  
3     glycerol, carboxymethyl cellulose (CMC), growth factors, steroids, non-steroidal anti-  
4     inflammatory compounds, or combinations thereof.

1           13.    The composition of claim 6 comprising between about 0.0001 to 0.1 mg/ml  
2     bone morphogenetic protein.

1           14.    The composition of claim 1 which is a frozen solution or is freeze-dried.

1           15.    The composition of claim 1 wherein the gelatin is human, bovine, ovine,  
2     equine, canine or mixtures thereof.

1           16.    The composition of claim 1 wherein the gelatin is derived from human  
2     collagen sources via enzymatic, acid or alkaline extraction.

1           17.    The composition of claim 16 wherein said human collagen sources are human  
2     skin, bone, cartilage, tendon, connective tissue, or mixtures thereof.

1        18. The composition of claim 17 produced by treating the collagen source with  
2 pepsin at about 33°C, heat denaturing the thus treated collagen under controlled conditions  
3 to produce gelatin, and mixing the thus produced gelatin with an osteogenic compound  
4 such that the gelatin is present at a final concentration of about 20-45% (w/w).

1        19. The composition of claim 18 wherein the denaturation is achieved by heating  
2 to at least 50°C.

1        20. The composition of claim 19 wherein the gelatin has a molecular weight of  
2 greater than about 50,000 daltons.

1        21. The composition of claim 1 wherein the osteogenic component is  
2 demineralized bone matrix in a powdered form, and is composed of particles in the size  
3 range between about 80-850  $\mu\text{m}$  in diameter.

1        22. The composition of claim 21 comprising about 0-40% (w/w) demineralized  
2 bone matrix powder, provided that if the demineralized bone matrix is powder is absent,  
3 then a bone growth factor is present at a concentration of at least 0.0001 mg/ml.

1        23. The composition of claim 22 wherein said bone growth factor is morphogenetic  
2 protein, TGF- $\beta$ , or mixtures thereof, natural or recombinant.

1        24. The composition of claim 6 wherein the bioactive glass is BIOGLASS® having  
2 a diameter of between about 0.5-710  $\mu\text{m}$ .

1        25. The composition of claim 1 further comprising cortical, cancellous or cortical  
2 and cancellous bone chips.

1        26. The composition of claim 25 wherein said bone chips are in the size range of  
2        80 $\mu$ m to 10 mm.

1        27. The composition of claim 1 which is injection molded, vacuum molded,  
2        rotation molded, blow molded, extruded or otherwise formed into a solid form.

1        28. The composition of claim 27 wherein said form is selected from vertebral  
2        disks, acetabular hemispheres, tubes, ellipsoid, oblong, and "U" shapes for void filling,  
3        intramedullary plug formation, and impaction grafting.

1        29. A method for inducing bone formation *in vivo* in a recipient in need thereof  
2        which comprises implanting an effective amount of an implantable bone paste composition  
3        comprising gelatin as a carrier for substantially bioabsorbable osteogenic components.

1        30. The method claim 29 which comprises repairing non-union fractures, achieving  
2        periodontal ridge augmentation, conducting craniofacial surgery, securing implants,  
3        arthrodesis of spinal or other joints, spinal fusion procedures, or impaction grafting, which  
4        comprises implanting said composition at the site *in vivo* in need of such treatment.

1        31. The method according to claim 30 which comprises formation of a series of  
2        small apertures in an intervertebral space and injection of said composition into said space  
3        to induce artherodesis.

1        32. The method according to claim 30 which comprises extruding said composition  
2        from a syringe at a temperature at a first temperature at which it remains liquid or highly  
3        maleable, and forming a resilient, sticky and easily formable shape from said composition  
4        as it gels at a second temperature at or slightly above the body temperature of the organism  
5        into which it is implanted.

1           33. A method for making an implantable graft which comprises preparing a  
2       composition comprising a thermally cross-linkable gelatin carrier and suspending therein  
3       a substantially bioabsorbable osteogenic component.

1           34. The method of claim 33 wherein said osteogenic component is selected from:  
2       (i) demineralized bone matrix (DBM);  
3       (ii) bioactive glass ceramic, BIOGLASS®, bioactive ceramic, calcium phosphate  
4       ceramic, hydroxyapatite, hydroxyapatite carbonate, corraline hydroxyapatite,  
5       calcined bone, tricalcium phosphate, or like material;  
6       (iii) bone morphogenetic protein, TGF- $\beta$ , PDGF, or mixtures thereof, natural or  
7       recombinant; and  
8       (iv) mixtures of (i)-(iii).

1           35. The method of claim 34 which further comprises injection molding, vacuum  
2       molding, rotation molding, blow molding, extruding or otherwise forming said  
3       composition into the desired form of a solid graft, and allowing the composition to solidify  
4       at a temperature at which the gelatin becomes thermally cross-linked.

1           36. The method of claim 35 wherein said form is selected from vertebral disks,  
2       acetabular hemispheres, tubes, ellipsoid, oblong, and "U" shapes for void filling,  
3       intramedullary plug formation, and impaction grafting.

1           37. The method of claim 36 which comprises raising the temperature of the  
2       composition above its liquefaction temperature and allowing the composition to gel in a  
3       mold of appropriate shape.

1/4

Selected Bone Grafting Materials				
Graft Material	Category	Physical Form	Distributor	Notes
Collagraft	conductive	Paste of Collagen TCP and HA	Zimmer	Inappropriate for large grafts. HA not resorbable. Expensive.
Norian	conductive	Reactive Paste which solidifies	SRS	New, interesting results, so far. Inappropriate for large grafts
Corraline HA	conductive	Calcined Coral 'Foam' of HA	Interpore	Good mechanical properties, difficult handling.
Powdered HA	conductive	Particulate HA, in a variety of presentations	Numerous	Problems with migration from implant site. Not resorbable.
Bioglass	conductive	SiO <sub>2</sub> , Na <sub>2</sub> O, CaO, P <sub>2</sub> O <sub>5</sub> glass, forms HA-carbonate <i>in-vivo</i>	U.S. Biomaterials	Problems with migration from implant site. Not resorbable.
Autograft bone	inductive	Usually iliac or tibial crest wedge or just marrow	N/A	Up to >20% explant site morbidity. (Younger)
Allograft whole bone	conductive or inductive	Whole Bone Segments or chips, often including articular components. Either Frozen or Freeze-dried	University of Florida Tissue Bank, (UFTB), other tissue banks	Inductive if processing and sterilization is limited. Conductive if over-processed. Perceived problem with disease transmission.
Grafton	inductive	DBM in Glycerol matrix, provided pre-loaded in syringe	Osteotech	Problems with migration from implantation site. (Frenkel) 778 Glycerol is a neurolytic agent.
DBM	inductive	Powdered or Chips, provided Freeze-dried	UFTB, other tissue banks	Problems with migration from implantation site. (Lasa; Frenkel)

Figure 1.

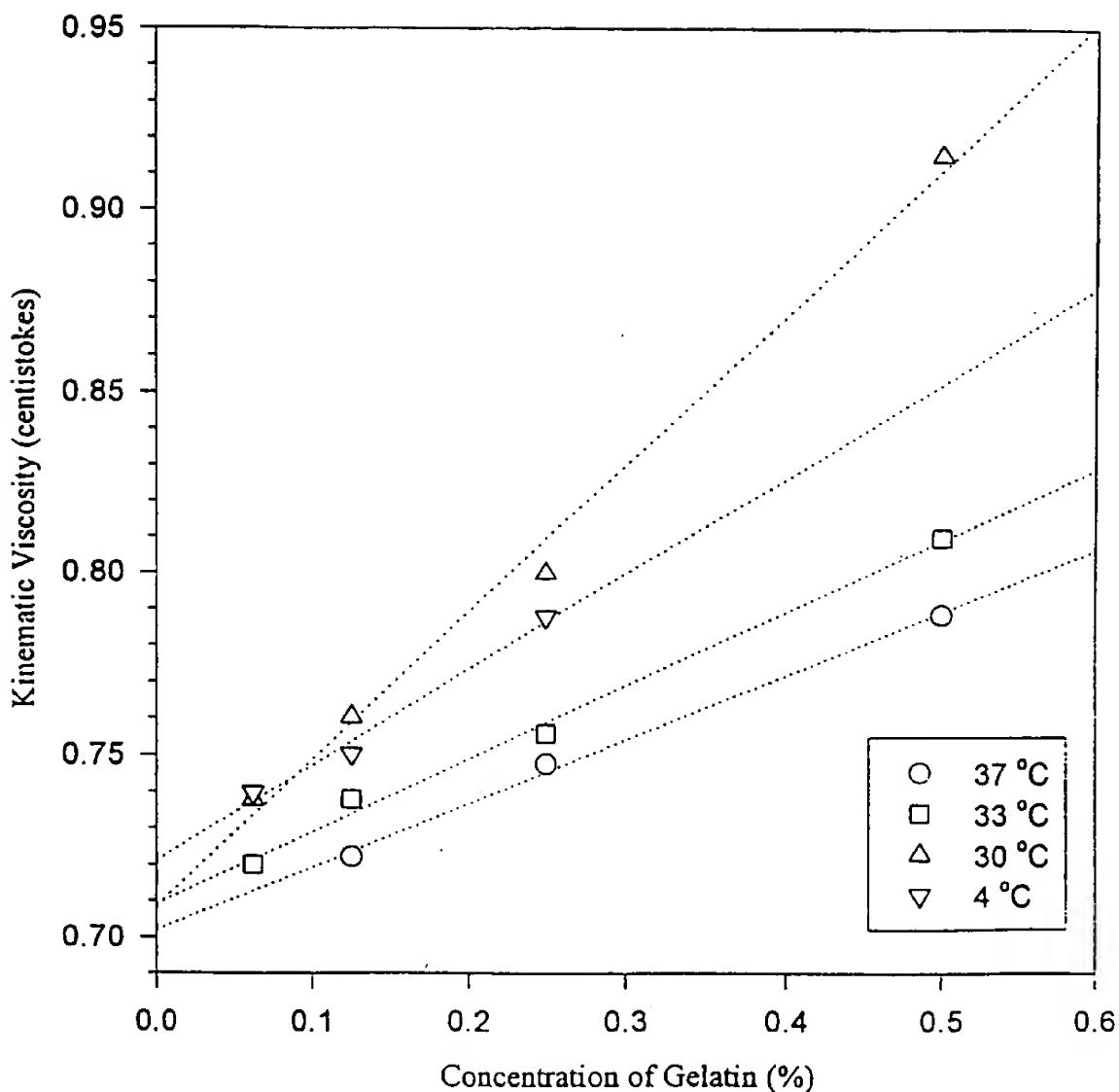
2/4

Bone Demineralization Procedure		
Step No.	Procedure	Purpose
1	harvest long bones aseptically, remove adherent tissue	
2	grind bones at 4°C to 80 microns minimum size	powder demineralizes more rapidly
3	soak at 4°C in hydrogen peroxide (3%), 24 hours	oxidizes proteins, reduces antigenicity, antiseptic
4	soak at 4°C in 70% ethanol, 24 hours	defatting of bone, reduces antigenicity, antiseptic
5	soak at 4°C in 0.5N HCl, 24 hours	dissolves and removes mineral components, removes acid soluble proteins, reduces antigenicity, antiseptic
6	sieve to separate particles in ranges 80-400 $\mu\text{m}$ , >400 $\mu\text{m}$ , and <80 $\mu\text{m}$ , discard 80 $\mu\text{m}$	80-400 $\mu\text{m}$ fraction is sold as DBM powder, >400 $\mu\text{m}$ is sold as chips, <80 $\mu\text{m}$ is engulfed by macrophagic activity <i>in vivo</i> and is ineffective, so it is discarded
7	lyophilize	allows storage at room temperature for up to 4 years, reduces antigenicity

Figure 2.

3/4

Figure 3.



4/4

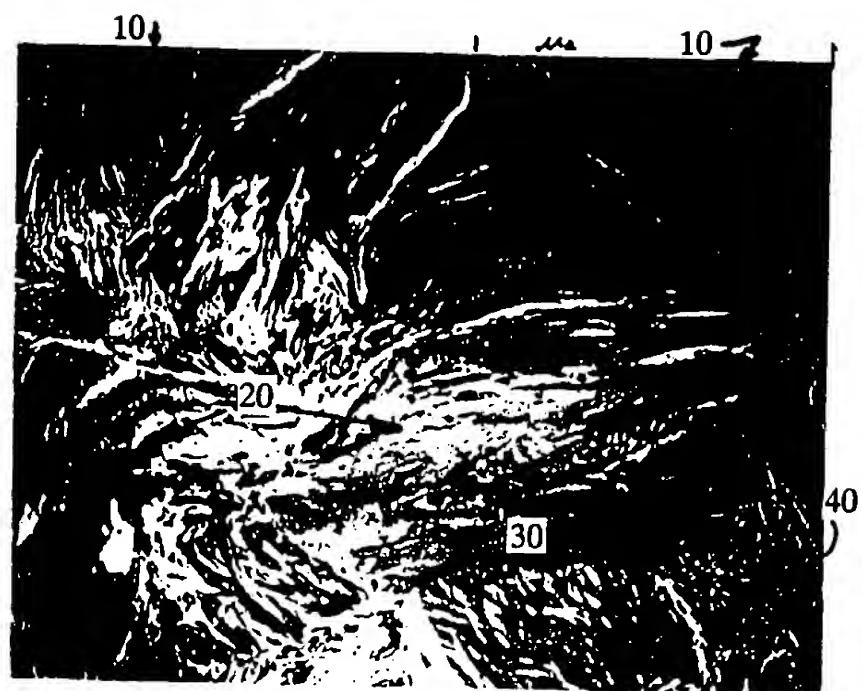


FIG. 4A

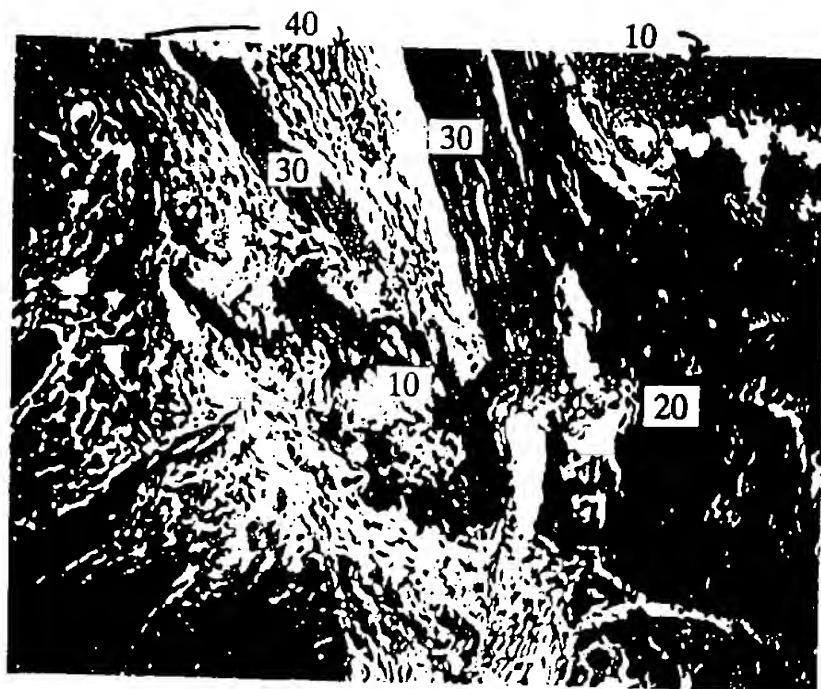


FIG. 4B

# INTERNATIONAL SEARCH REPORT

International Application No  
PCT/US 98/04904

**A. CLASSIFICATION OF SUBJECT MATTER**

IPC 6 A61L27/00

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)  
IPC 6 A61L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 96 39203 A (BIOCOLL LAB INC) 12 December 1996 see page 20, line 5 - line 20; claims ----	1-37
X	EP 0 530 804 A (SHAW ROBERT F) 10 March 1993 see claims; example ----	1-37
X	EP 0 329 239 A (FORESTI GIANCARLO) 23 August 1989 see claims ----	1,2,6,12
X	DE 42 16 496 A (SATTEL WERNER PROF DR MED ; ALLPHAMED ARZNEIMITTEL GMBH (DE)) 25 November 1993 see claims ----	1,2,6,12
		-/-

Further documents are listed in the continuation of box C.

Patent family members are listed in annex.

\* Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search

Date of mailing of the international search report

29 July 1998

07/08/1998

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl.  
Fax: (+31-70) 340-3016

Authorized officer

ESPINOSA, M

## INTERNATIONAL SEARCH REPORT

International Application No  
PCT/US 98/04904

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	<p>DATABASE WPI            Section Ch, Week 8911            Derwent Publications Ltd., London, GB;            Class D22, AN 89-080728            XP002073037            &amp; JP 01 032 371 A (NITTA GELATIN KK)            see abstract</p> <p>---</p> <p>WO 92 13565 A (SHAW ROBERT F) 20 August            1992            see claims; examples</p> <p>---</p> <p>EP 0 147 021 A (GEISTLICH SOEHNE AG) 3            July 1985            see page 4, line 11 - line 12; claims</p> <p>---</p> <p>DATABASE WPI            Section Ch, Week 8835            Derwent Publications Ltd., London, GB;            Class D22, AN 88-247568            XP002073038            &amp; JP 63 181 770 A (NAGASE M)            see abstract</p> <p>---</p> <p>DATABASE WPI            Section Ch, Week 9001            Derwent Publications Ltd., London, GB;            Class A96, AN 90-004589            XP002073039            &amp; JP 01 288 269 A (TOA NENRYO KOGYO KK)            see abstract</p> <p>---</p> <p>DATABASE WPI            Section Ch, Week 9325            Derwent Publications Ltd., London, GB;            Class A96, AN 93-199779            XP002073040            &amp; JP 05 123 390 A (KYOCERA CORP)            see abstract</p> <p>---</p> <p>DATABASE WPI            Section Ch, Week 9347            Derwent Publications Ltd., London, GB;            Class A96, AN 93-373633            XP002073041            &amp; JP 05 277 174 A (KYOCERA CORP)            see abstract</p> <p>-----</p>	1,2
A		1
A		1
A		1
A		1
A		1
		1

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 98/04904

### Box I Observations where certain claims were found unsearchable (Continuation of Item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1.  Claims Nos.: 29-32

because they relate to subject matter not required to be searched by this Authority, namely:

Remark: Although claims 29-32

are directed to a method of treatment of the human/animal body, the search has been carried out and based on the alleged effects of the compound/composition.

2.  Claims Nos.:

because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:

3.  Claims Nos.:

because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

### Box II Observations where unity of invention is lacking (Continuation of Item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1.  As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.

2.  As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.

3.  As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:

4.  No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

#### Remark on Protest

The additional search fees were accompanied by the applicant's protest.

No protest accompanied the payment of additional search fees.

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 98/04904

Patent document cited in search report		Publication date		Patent family member(s)		Publication date
WO 9639203	A	12-12-1996		AU 6107496 A EP 0851772 A		24-12-1996 08-07-1998
EP 0530804	A	10-03-1993		US 5270300 A AU 657888 B AU 2541192 A CA 2116859 A IL 102988 A JP 7500741 T NO 940764 A NZ 244060 A WO 9304710 A ZA 9206729 A		14-12-1993 23-03-1995 05-04-1993 18-03-1993 08-02-1998 26-01-1995 29-04-1994 27-07-1997 18-03-1993 12-03-1993
EP 0329239	A	23-08-1989		JP 2005960 A US 5292349 A		10-01-1990 08-03-1994
DE 4216496	A	25-11-1993		NONE		
WO 9213565	A	20-08-1992		US 5206023 A AT 121943 T AU 667032 B AU 1412892 A CA 2101556 A CN 1064813 A DE 69202332 D DE 69202332 T DK 569541 T EP 0569541 A ES 2072144 T IE 67515 B IL 100799 A JP 6505258 T NZ 260125 A US 5368858 A		27-04-1993 15-05-1995 07-03-1996 07-09-1992 01-08-1992 30-09-1992 08-06-1995 04-01-1996 10-07-1995 18-11-1993 01-07-1995 03-04-1996 16-10-1996 16-06-1994 27-07-1997 29-11-1994
EP 0147021	A	03-07-1985		AU 3461484 A CA 1250237 A JP 60103963 A US 4772468 A		09-05-1985 21-02-1989 08-06-1985 20-09-1988